

To  
NJ India Invest Private Limited  
9<sup>th</sup> Floor, B Tower, Udhna Udyognagar Sangh  
Commercial Complex, Central Road No.10,  
Udhna, Surat – 394210, Gujarat.

Date: \_\_\_\_\_

**Activation of segments on BSE & NSE**

Dear Sir,

I/we \_\_\_\_\_ am/are registered as client of your company NJ India Invest Private Limited (“NJ India”) with UCC \_\_\_\_\_ and have executed the 'Trading Member & Client Agreement' for the purpose of trading in the segments of National Stock Exchange of India Ltd. (NSE) & Bombay Stock Exchange (BSE).

I/we request you to activate my/our said account for trading in the below segments of the exchanges.

BSE Segment	Signature	NSE Segment	Signature
Cash		Cash	
F&O		F&O	
Currency Derivative		Currency Derivative	

I/we agree and understand that the terms and conditions of the Member – Client Agreement entered by me/us with NJ India along with the voluntary clauses and other authorizations as provided to me/us including but not limited to 'Authorisation for receipt of Contract Notes and other documents in digital mode', 'Running Account Authorisation', 'Settlement periodicity' or any other authorizations furnished earlier shall remain applicable to the above mentioned trading segments.

I/We hereby agree and understand that the Power of Attorney granted by me/us in favour of NJ India shall continue to be valid and binding upon me/us and shall be applicable to all the services availed by me/us from NJ India including trading in above segments. I/we have read and understood the 'Combined Risk Disclosure Document' and I/we am/are aware of the risk associated with above segments trading.

I/we have read, understood and agree to the updated policies and procedures, particularly the clause no.6 of Policy and Procedures which read as under:



*"6. Shortages in obligation arising out of internal netting of trades: Clients are required to make Securities/ Funds pay-in on T+2 day for shares and T+1 day in case of Mutual Fund units. In case of default in security pay-in by the client and the shortage is at member level i.e. internal shortage, a penalty as applicable from time to time, will be imposed on the defaulting client and the benefit will be passed on to the respective beneficiary client. Rate of penalty will be decided by member as applicable from time to time and published on our website.*

*In case of default of securities, pay-in by the client and the shortage is vis-a vis the Exchange, auction value of the respective exchanges and all levies, as applicable, shall be recovered from the defaulting client.*

*Client hereby agrees that if he / she / it has short delivered any securities against his / her / its pay in obligation which resulted into internal shortage i.e. adjusted against buy position of another client of the stock broker and couldn't be auctioned in the market then the settlement shall take place in any of the following manner:*

*i) Furnishing the sell obligation to BSE for auction as an internal Shortage through the self auction route of BSE for the shortage on any exchange.*

*ii) Buying the securities from open market for the delivery to purchasing client and debiting the cost of purchases along with brokerage and other charges to your account.*

*iii) Closing out the shortage by debiting an amount equivalent to the sell proceeds plus 10% and passing on this amount to the credit of purchasing client.*

*iv) Any other method acceptable to us and the purchaser which is not explicitly disallowed under any acts, rules by laws, regulations, circulars, notifications etc. issued by SEBI Exchanges or any other regulatory authority."*

I/we hereby agree that I/we have read and understood all the policies and procedures of NJ India as applicable to my/our trading account. Further, I/we hereby undertake to refer to the updated policies and procedures as posted on NJ India's website and abide by the same from time to time.

Thanking you,  
Yours faithfully,

\_\_\_\_\_  
Signature of the Client / Authorised Signatory(ies)

Name of the Client / Authorised Signatory(ies)

Client Code: \_\_\_\_\_

